

**NIST**  
National Institute of  
Standards and Technology  
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## mmWave for Ultra-Dense Networks (UDNs)

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**Panel: 5G/mmWave Capacity Improvements: A Systems Perspective**

**Moderator: Chris Anderson, USNA/ITS**

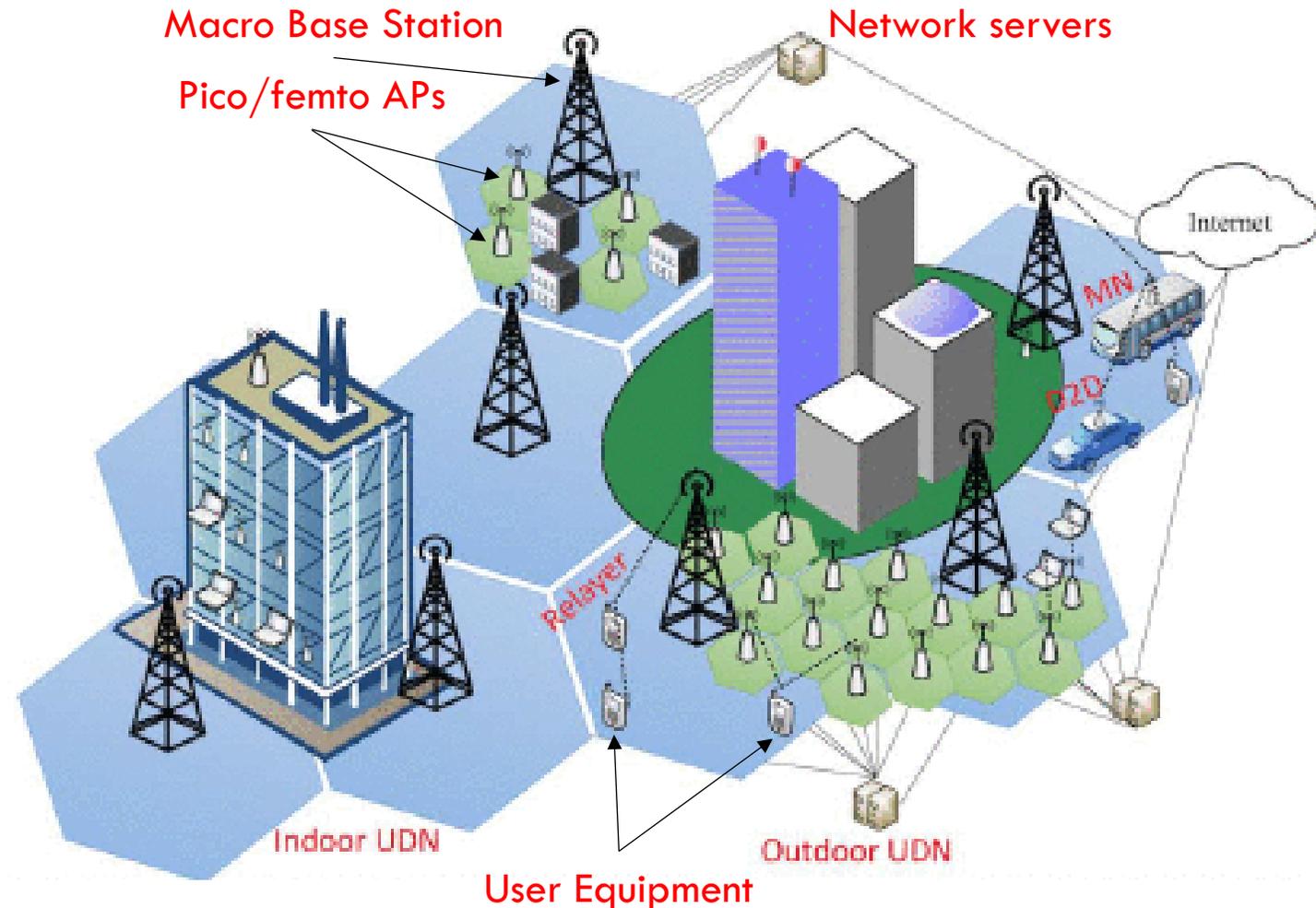
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# “What are UDNs, anyway?”\*

## Three features of UDNs:

1. Large number of network access points (APs) (obviously...)
  - a) More APs than devices (?)
  - b) Offload macro traffic
  - c) Extensive frequency reuse
2. Dense and heavily interconnected cross-tier network structure
3. Fast network access & flexible inter- and intra-tier switching (i.e., rapid, seamless handovers)



# Two Equations, Two Metrics

- Hwang et al.<sup>1</sup>

- Capacity density:  $\delta_R \left[ \frac{\text{bits/s}}{\text{km}^2} \right] = \delta_{\text{cell}} \left[ \frac{\text{cells}}{\text{km}^2} \right] \times C \left[ \frac{\text{bits/s/Hz}}{\text{cell}} \right] \times B \text{ [Hz]}$
- Increase cell density (UDN), bandwidth (spectrum migration), or spectral efficiency per cell (diminishing returns)

- Lopez-Perez et al.<sup>2</sup>

- Total network capacity:  $C \text{ [bits/s]} = \sum_{m=1}^M \sum_{u=1}^{U_m} B_{m,u} \text{ [Hz]} \log_2(1 + \gamma_{m,u})$
- Densification makes  $C$  increase linearly w.r.t.  $M$
- Densification reduces  $U_m$  but increases  $B_{m,u}$  (fewer devices per cell)
- Densification increases  $\gamma_{m,u}$  (results in slower growth in  $C$ )

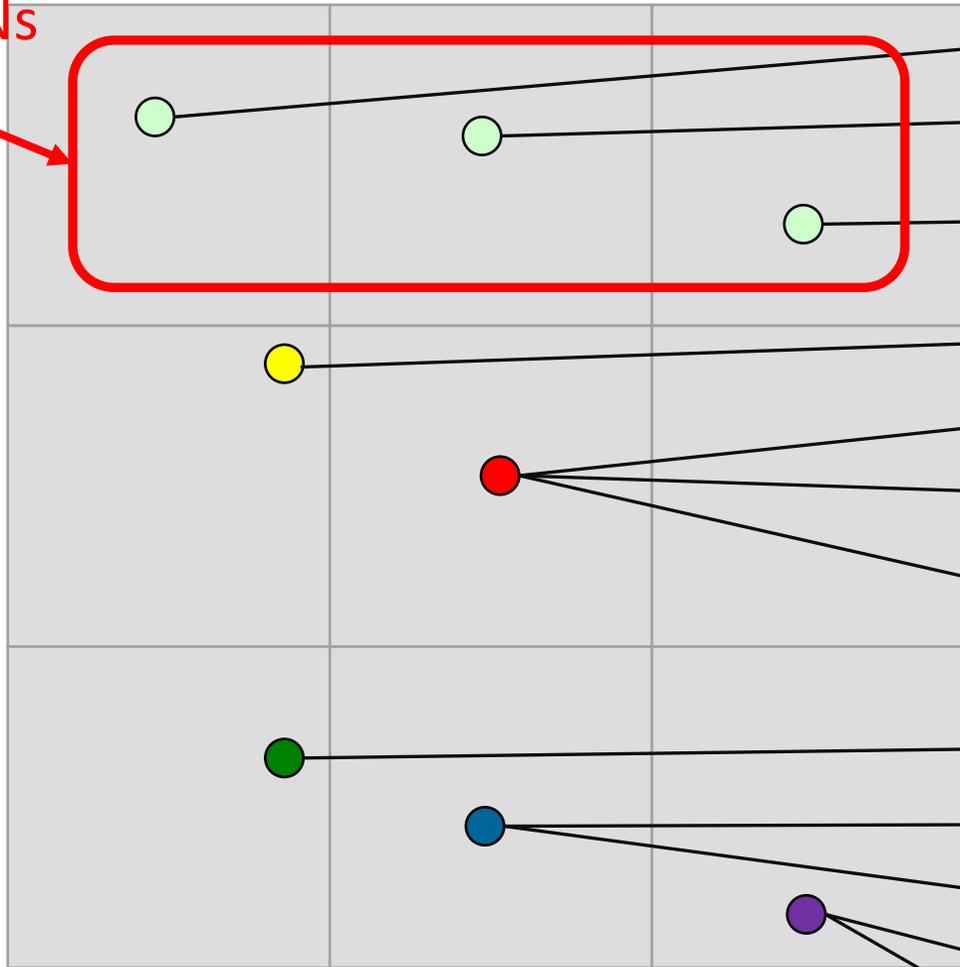
<sup>1</sup> D. López-Pérez, M. Ding, H. Claussen and A. H. Jafari, "Towards 1 Gbps/UE in Cellular Systems: Understanding Ultra-Dense Small Cell Deployments," in IEEE Communications Surveys & Tutorials, vol. 17, no. 4, pp. 2078-2101, Fourthquarter 2015.

<sup>2</sup> I. Hwang, B. Song, and S. Soliman, "A holistic view on hyper-dense heterogeneous and small cell networks," IEEE Commun. Mag., vol. 51, no. 6, pp. 20–27, June 2013.

# Some Interference Management Techniques for sub-GHz UDNs

mmWave UDNs

Small-Small



Random Time Slot Selection

Distributed control

Adaptive power/attenuation control,  
carrier selection, beamforming

Beamforming codebook restriction (Sungsoo)

Tiered spectrum assignment

Adaptive frequency hopping by Small Cells

Cognitive-assisted spectrum aware usage by  
small cells

Nonlinear interference cancellation

Base Station Placement

Carrier Aggregation, CoMP

Adaptive Fractional Frequency Reuse

eICIC, e.g., Almost-Blank Subframes

UE

Network

Both

# UDNs Are a Natural Fit for mmWave

## Benefits for UDNs:

- Short propagation distances decrease the interference “horizon”
- Short wavelengths allow massive MIMO or phased arrays to support high gain along LoS/dominant path, collection of signal energy from reflective paths, and adaptive nulling of nearby interferers
- No multi-tier interference

## Some challenges:

- Tracking beams/devices/paths
- Energy conservation requirements
- Management requirements
- Effect of mmWave backhaul

